### Section A  Student Biographical Information

Applicants who wish to be considered for need-based financial assistance from the University of Pennsylvania must complete and sign this form in addition to completing the **Free Application for Federal Student Aid (FAFSA)**. Also, this form includes the Non-Tax Filer Form for students and/or parents who do not file the federal 1040 tax form. Parental information must be provided if required by your school. Please review your school’s policy regarding this requirement. When indicated, provide additional information and, if necessary, attach a separate sheet to this form.

1. Name: ______________________________________________________ Email Address: ______________________________________
   Last                                      First                                      M.I.
2. Penn I.D. Number OR last 4 digits of Social Security Number
   Date of Birth:________________________
   Year in Graduate Program:
   □ 1st  □ 2nd  □ 3rd  □ 4th
   Expected Month and Year of Graduation:_________________/________
   Grad School Division: _______________________________ Department (if applicable): ___________________________
3.  Student’s Citizenship Status: __________________
   U.S. Citizen
   Permanent Resident
   Neither of the Above (answer below)
   Visa Classification: __________________
   Country of Citizenship: __________________
   F1
   F2
   J1
   J2
   G
   Other __________________________
4. Degree Sought:
   □ MA/MS
   □ DMD
   □ MSW
   □ MD
   □ PhD/EdD
   □ VMD
   □ MBA
   □ JD
   □ Other __________________________
5. Enrollment Status for 2017-2018
   □ Full-Time
   □ Less than Half-Time
   □ Half-Time
   □ Unknown at this time
   □ Other __________________________

### Section B  Enrollment Status

10. If studying for a MD or DMD degree, do you plan to practice in primary health care or general dentistry?  □ Yes □ No
To be considered for financial aid, you must be enrolled at least half-time (2 course units per semester) in a degree program. You are considered full-time if you are enrolled in the School of Medicine, Dental, Law, Veterinary or Wharton MBA Program.

11. Enrollment Status for 2017-2018
   □ Full-Time
   □ Half-Time
   □ Less than Half-Time

12. All students enrolled in Annenberg, Arts & Sciences, Education, Engineering, Design, Nursing, Social Policy & Practice and Wharton’s PhD Program must complete this question. Please check the appropriate box below:

   Fall 2018
   □ I have registered
   □ I intend to register
   □ Paying dissertation tuition only
   □ Paying Master’s Registration fee only
   Spring 2019
   □ I have registered
   □ I intend to register
   □ Paying dissertation tuition only
   □ Paying Master’s Registration fee only

### Section C  Educational Resources

13. Do you expect to receive any other funding for the 2018-2019 Academic Year?
   □ Yes □ No □ Unknown at this time
   □ Other __________________________

   If “Yes,” please complete the following:
   a. Teaching/Research..............................................$ __________
   b. Stipend..........................................................$ __________
   c. Grants or Fellowships........................................$ __________
   d. Resident Advisor Position.................................$ __________
   e. Armed Forces Scholarship.................................$ __________
   f. Other Sources..................................................$ __________
Section D  Student's Educational History

Please complete this section even if you did not receive financial aid.

14a. Name(s) of all U.S. undergraduate institutions   Dates attended   Degree, if any

____________________   __________   __________________
____________________   __________   __________________
____________________   __________   __________________

14b. Name(s) of all U.S. graduate institutions   Dates Attended   Degree, if any

____________________   __________   __________________
____________________   __________   __________________
____________________   __________   __________________

14c. Total number of prior institutions attended (sum of a & b): ________

Section E  Student's Financial Aid History

15a. Undergraduate financial aid (If zero, enter “0s”)

<table>
<thead>
<tr>
<th>Year</th>
<th>Grants</th>
<th>Loans</th>
</tr>
</thead>
<tbody>
<tr>
<td>Freshman</td>
<td>$ __________</td>
<td>$ __________</td>
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<tr>
<td>Sophomore</td>
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<tr>
<td>Junior</td>
<td>$ __________</td>
<td>$ __________</td>
</tr>
<tr>
<td>Senior</td>
<td>$ __________</td>
<td>$ __________</td>
</tr>
<tr>
<td>Total</td>
<td>$ __________</td>
<td>$ __________</td>
</tr>
</tbody>
</table>

15b. Graduate financial aid (If zero, enter “0s”)

<table>
<thead>
<tr>
<th>Year</th>
<th>Grants</th>
<th>Loans</th>
</tr>
</thead>
<tbody>
<tr>
<td>First</td>
<td>$ __________</td>
<td>$ __________</td>
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<tr>
<td>Second</td>
<td>$ __________</td>
<td>$ __________</td>
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<tr>
<td>Third</td>
<td>$ __________</td>
<td>$ __________</td>
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<tr>
<td>Fourth</td>
<td>$ __________</td>
<td>$ __________</td>
</tr>
<tr>
<td>Total</td>
<td>$ __________</td>
<td>$ __________</td>
</tr>
</tbody>
</table>

Section F  Spouse's (or Prospective Spouse's) Financial Aid History

Married students and students who will be married prior to July 1, 2019

16. Your actual or anticipated marriage date.

____________________   __________   Year

17. □ My spouse will reside with me

□ My spouse and I will maintain separate residences

18. Spouse's name:  First:__________________________________ Last: ______________________________ Age:_______

19a. Will your spouse be a student in the 2018-2019 academic year? □ Yes, full-time   □ Yes, at least half-time   □ No

19b. If yes, indicate his/her status in 2018-2019: □ Undergraduate   □ Graduate/Professional

20. 2017 state and local income and property taxes: $ ______________________

21a. Do you own your car(s)? □ Yes   □ No

21b. Make ______________ Year ______________ Model _____

22. 2017 medical and dental expenses not covered by insurance: $ ____________

23a. 2017 elementary, junior high and high school tuition paid: $ ____________

23b. For how many dependent children? __________________________

23c. 2017 total car indebtedness: ________________

24. 2017 child support paid: $ __________________________________________

25. Educational Loans - Give Amounts

<table>
<thead>
<tr>
<th>Loan Type</th>
<th>Total Principal Owed as of 6/2018</th>
<th>Amount to be Paid in 2018-2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Your Federal Perkins Loan (formerly NDSL)</td>
<td>$ __________________</td>
<td>$ __________________</td>
</tr>
<tr>
<td>b. Your other educational loans</td>
<td>$ __________________</td>
<td>$ __________________</td>
</tr>
<tr>
<td>c. Spouse's (or prospective spouse's) total educational loans</td>
<td>$ __________________</td>
<td>$ __________________</td>
</tr>
</tbody>
</table>


<table>
<thead>
<tr>
<th>Type of Indebtedness</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. __________________</td>
<td>$ __________________</td>
</tr>
<tr>
<td>b. __________________</td>
<td>$ __________________</td>
</tr>
<tr>
<td>c. Total (add lines a and b)</td>
<td>$ __________________</td>
</tr>
</tbody>
</table>

27. Unusual Expenses. List your unusual expenses and itemize amount in section Q.

(Include any child care expenses in this section.)  
Summer 2018   Academic Year 2018-2019

$ ______________   $ ______________
Section H  Student’s (and Spouse’s) 2016 Income, Earnings and Benefits

28. The following 2016 income tax figures* are from (check only one):
   ○ a completed 2016 IRS Form 1040A or 1040EZ. Go to Question 29.
   ○ a completed 2016 IRS Form 1040. Go to Question 29.
   ○ an estimated 2016 IRS Form 1040A or 1040EZ. Go to Question 29.
   ○ an estimated 2016 IRS Form 1040. Go to Question 29.
   ○ will not file a 2016 U.S. income tax return. Answer questions 30a, 30b, 34a-e, and 35. *If you did not file a 2016 U.S. income tax return, please sign Section P.

29. Total number of exemptions (IRS Form 1040/line 6d; 1040A/line 6d)*

30. Wages (IRS Form 1040/line 7; 1040A/line 7.  1040EZ/line 1)*
   a. Your income earned from work
   b. Your spouse’s income earned from work

31. Interest and dividend income (IRS Form 1040/lines 8a, 8b, 9a and 9b 1040A/lines 8a, 8b, 9a and 9b; 1040EZ line 2)*

32. Other taxable income (IRS Form 1040/lines 10, 11, 14, 15b, 19, 20b, 21; 1040A/lines 10, 11b, 12b, 13, 14b; 1040EZ/line 3)*

33. U.S. income tax paid (IRS Form 1040/line 55; 1040A/line 37; 1040EZ/line 11)*

34. Untaxed income and benefits (yearly totals only)
   a. Payments to tax deferred pensions and savings plan
   b. Tax exempt interest (IRS Form 1040/line 8b; 1040A/line 8b)*
   c. Pensions (IRS Form 1040/line 16a minus 16b; 1040A/ line12a minus 12b)
   d. Social security (IRS Form 1040/line 20a minus 20b; 1040A/ line14a minus 14b)
   e. Earned income credit (IRS Form 1040/line 64a; 1040A/ line 41a)
   f. Aid to families with dependent children (AFDC or ADC)
   g. Other (explain separately)

35. Total untaxed income and benefits (34a - 34g)

* Tax filers only! Line indicators in parenthesis accurate as of tax year 2016.

Section I  Student’s (and Spouse’s) Summer/Academic Year Expected Pre-Tax Income and Employment

Note: For the following section please estimate if uncertain

36. Student’s before tax (gross) income from work
   a. Summer 2018 (3 months)
   b. Academic Year 2018-2019 (9 months)
   c. Total (a and b above)

37. Spouse’s before tax (gross) income from work
   a. Summer 2018 (3 months)
   b. Academic Year 2018-2019 (9 months)
   c. Total (a and b above)

38. Student’s (and spouse’s) other taxable income
   a. Summer 2018 (3 months)
   b. Academic Year 2018-2019 (9 months)
   c. Total (a and b above)

39. Student’s (and spouse’s) untaxed income and benefits
   a. Summer 2018 (3 months)
   b. Academic Year 2018-2019 (9 months)
   c. Total (a and b above)

40. Your employment history
    Employer’s Name  Type of Work  # of Weeks Worked

41. Your spouse’s (or prospective spouse’s) employment history
    Employer’s Name  Type of Work  # of Weeks Worked
Section J  Student’s (and Spouse’s) Asset Information and Other Resources

Note: Do not include student financial aid in your calculations.

42. Cash, savings and checking accounts
   What is it worth now? $ __________.00
   What is owed on it? $ __________.00

43. Home (Renters, enter “0”)
   $ __________.00

44. Other real estate (explain separately)
   $ __________.00

45. Business
   $ __________.00

46. Farm
   $ __________.00

47. Stocks, bonds, certificates of deposit and other investments (explain separately)
   $ __________.00

48. IRA/401K value as of December 31, 2017
   $ __________.00

49. Keogh value as of December 31, 2017
   $ __________.00

50. Other retirement funds
   $ __________.00

51. Contingent trust funds of which the student is beneficiary (explain separately)
   $ __________.00

52. Vested trust funds of which the student is beneficiary (explain separately)
   $ __________.00

53. Other (explain separately)
   $ __________.00

54. What will be the value, as of September 1, 2018, of your (and your spouse’s or prospective spouse’s) cash, savings and checking accounts? $ __________

55. Your non-federal scholarships, fellowships and grants from sources other than those designated to receive this statement (excluding student loans) $ __________

56. Financial assistance from your parents
   Academic Year 2017-2018
   $ __________.00
   Academic Year 2018-2019
   $ __________.00

57. Financial assistance from other relatives, your spouse’s parents, and all other sources
   $ __________.00

58. Reimbursement from employer for expenses
   $ __________.00

Section K  Parents and Family Questionnaire (If Applicable)

The Parents and Family Questionnaire must be completed if your school/program requires parents’ and family information.

59a. Student’s father □ □ □ □ guardian
   59b. Student’s mother □ □ □ □ guardian

   Name
   City State Zip
   Occupation Title
   Employed by Years

60a. Number of family members in 2018-2019. Write in the total number of people your parents will support in 2018-2019. Always include yourself and your parents, other dependent children and other people living in household.

60b. Number of college students in 2018-2019. Write in the number of people in your family that will be attending college or graduate/professional school at least half-time during the 2018-2019 academic year. Be sure to include yourself.

60c. Number of parents in college:
   □ none □ one □ two

60d. Parent’s current marital status is:
   □ married □ divorced □ single □ widowed □ separated □ separated, no court action

61a. Divorced/Separated Parents: To be completed by parent/guardian filing this form

   Name of Institution
   City State Zip
   Scholarship - Gifts Aid 2017-18
   Loan and Employment 2017-18
   Parental Support toward tuition 2017-18
   Name of Institution to be Attended 2018-19
   Expected parental support toward education 2018-19
   Check if Full Time

If there are special circumstances, check □ and explain in Section Q.

Section L

Information for all the family members included in the student’s and parents’ households. Give the student’s information on line 1. For persons not in school, give only name, age and relationship. If there are more than eight family members, list those who will be in college at least half-time List others separately. This section is subject to verification.

<table>
<thead>
<tr>
<th>Name</th>
<th>Age</th>
<th>Check if living with family</th>
<th>Name of Present School or College 2017-18</th>
<th>Year in School/College 2017-18</th>
<th>Tuition, Fees and Room &amp; Board 2017-18</th>
<th>Scholarships or Gift Aid 2017-18</th>
<th>Loans and Employment 2017-18</th>
<th>Parental Support toward tuition 2017-18</th>
<th>Name of Institution to be Attended 2018-19</th>
<th>Expected parental support toward education 2018-19</th>
<th>Check if Full Time</th>
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<tbody>
<tr>
<td>Student Applicant 1</td>
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</tbody>
</table>

Relationship to student code:
1=Student’s parent/stepparent 2=Student’s brother or sister 3=Student’s spouse 4=Student’s son or daughter 5=Student’s Grandparent 6=Other (Explain Separately)
Section M  Parents' Income and Expenses

63. The following 2016 U.S. income tax return figures are from (check only one):
   [ ] a completed 2016 IRS Form 1040A or 1040EZ.  Go to Question 64.
   [ ] a completed 2016 IRS Form 1040.  Go to Question 64.
   [ ] an estimated 2016 IRS Form 1040A or 1040EZ.  Go to Question 64.
   [ ] an estimated 2016 IRS Form 1040.  Go to Question 64.
   [ ] will not file a 2016 U.S. income tax return.  Answer questions 65a, 65b, 73a-h, 74-77. If you did not file a 2016 U.S. income tax return, please sign Section P.

64. Total number of exemptions (IRS Form 1040/line 6d; 1040A/line 6d)** 64. __________

65. Wages (IRS Form 1040/line 7; 1040A/line 7; 1040EZ/line 1)" 65. $ __________.00 $ __________.00
   65a. Father's income earned from work $ __________.00 $ __________.00
   65b. Mother's income earned from work $ __________.00 $ __________.00

66. Interest and dividend income (IRS Form 1040/lines 8a, 8b, 9a & 9b; 1040A/lines 8a, 8b, 9a & 9b; 1040EZ/line 2)** 66. $ __________.00 $ __________.00

67. Net income from business, farm, rents, partnerships, estates, trusts, etc. (IRS Form 1040/lines 12, 17, & 18) 67. $ __________.00 $ __________.00

68. Other taxable income (IRS Form 1040/lines 10, 11, 14, 15b, 16b, 19, 20b, 21; 1040A/lines 10, 11b, 12b, 13, 14b; 1040EZ/line 3) 68. $ __________.00 $ __________.00

69. Deductible IRA, and/or SEP/SIMPLE (IRS Form 1040/line 28, 32; 1040A/line 17)** 69. $ __________.00 $ __________.00

70. U.S. income tax paid (IRS Form 1040/line 55; 1040A/line 37; 1040EZ/line 11)** 70. $ __________.00 $ __________.00

71. Itemized deductions (IRS Form 1040, Schedule A, line 29 - write "0" if deductions were not itemized)** 71. $ __________.00 $ __________.00

72. Untaxed income and benefits (yearly totals only)
   a. Payments to tax deferred pension and savings plans 72a. $ __________.00 $ __________.00
   b. Tax-exempt interest (IRS Form 1040/line 8b; 1040A line8b) 72b. $ __________.00 $ __________.00
   c. Pensions (IRS Form 1040/line 16a minus 16b; 1040 A/Line 12a minus 12b) 72c. $ __________.00 $ __________.00
   d. Social security (IRS Form 1040/line 20a minus 20b; 1040A/line 14a minus 14b) 72d. $ __________.00 $ __________.00
   e. Child support 72e. $ __________.00 $ __________.00
   f. Aid to families with dependent children (AFDC or ADC) 72f. $ __________.00 $ __________.00
   g. Earned income credit (IRS Form 1040/line 64a; 1040A/line 41a) 72g. $ __________.00 $ __________.00
   h. Other (explain separately) 72h. $ __________.00 $ __________.00

73. Total untaxed income (72a-72h above) 73. $ __________.00 $ __________.00

74. Medical and dental expenses not paid by insurance 74. $ __________.00 $ __________.00

75. Elementary, junior high, high school tuition paid (exclude applicant) 75. $ __________.00 $ __________.00

75a. For how many dependent children? 75a. __________

76. State and local income and property taxes 76. $ __________.00 $ __________.00

77. Child support paid 77. $ __________.00 $ __________.00

78. Other (explain separately)

**Tax Filers Only! Line indicators in parenthesis accurate as of the tax year 2016
There are no questions 78 - 83.

Section N  Parents' Asset Information

84. Which of the following best describes your parents' housing situation?
   [ ] rent - specify monthly payment $ __________
   [ ] own home - specify monthly mortgage payment $ __________
   [ ] other - please explain ________________________________________

If your parents own a home, please provide the following information:
   a. Year home was purchased __________
   b. Purchase price of home $ __________
   c. Current value of home $ __________
   d. Current outstanding debt on home $ __________

85. Do your parents own a car?  [ ] Yes  [ ] No
   a. Make __________________ Model________________
   b. Year __________________ Year __________________

If your parents own a car, please provide the following information:
   c. 2017 Total car indebtedness $______________________
   d. 2017 Total monthly car payments $______________________

86. Cash, savings and checking accounts .................................................................86. $ __________.00 $ __________.00

87. Other real estate (explain separately) .................................................................87. $ __________.00 $ __________.00

88. Investments (explain separately) ..................................................................88. $ __________.00 $ __________.00

89. Business .......................................................................................................89. $ __________.00 $ __________.00

90. Farm ...........................................................................................................90. $ __________.00 $ __________.00

What is it worth now?  What is owed on it?
Section O  Certification

This form is valid for the 2018-2019 Academic Year.

You must fill out the financial aid application and FAFSA forms accurately. All changes in circumstances must be reported in writing immediately to Student Financial Services. Falsifying information could result in loss of financial aid (or you could be required to repay the aid) and possible disciplinary action.

91. Certification: All the information provided by me or any other person on this form, if completed, is true and complete to the best of my (our) knowledge. I understand this application is being filed jointly by all signatories. If asked by an authorized official, I (we) agree to give proof of the information that I (we) have given on this form, if completed. I (We) realize that this proof may include a copy of my (our) U.S., state, or local income tax return. I (We) also realize that if I (we) do not give proof when asked, the student may be denied aid.

Signature____________________________________________ Signature________________________________________________

(Applicant) (Father)

Signature____________________________________________ Signature________________________________________________

(Spouse) (Mother)

Parents: If the student discusses the financial circumstances with the school’s financial aid officer, do you authorize the officer to disclose your information to the student?

☐ Yes  ☐ No  Date this form was completed: _______________________________  Month Day Year

Section P  Verification of Non-Tax Filer

Complete this section only if you or your parents did not file a 2016 federal tax form.

92. For Parents Who Do Not File: at least one parent must sign

I (We) have not filed and will not file a 2016 U.S. Income Tax Return Form 1040, 1040A, or 1040EZ. All the information on the financial aid application which will be used to calculate my son’s/daughter’s financial need is complete and correct.

Signature____________________________________________ Date __________________________

(Father)

Signature____________________________________________ Date __________________________

(Mother)

93. For Students Who Do Not File: Applicant (and spouse, if applicable) must sign.

I (We) have not filed and will not file a 2016 U.S. Income Tax Return Form 1040, 1040A, or 1040EZ. All the information on the financial aid application which will be used to calculate my financial need is complete and correct.

Signature____________________________________________ Date __________________________

(Applicant)

Signature____________________________________________ Date __________________________

(Spouse)

Section Q  Special Circumstances

Please use this space to explain special circumstances (attach an additional sheet if necessary).

Section R  Release of Information

I hereby grant permission to Student Financial Services to release my academic transcript and information about my financial aid to any prospective scholarship donor.

Signature____________________________________________ Date __________________________

(Applicant)

For Office Use Only:
SCRN: 11 PennSup FILE: GRAD Date Received__________  Initials ____________
12 NONTAX