Section A  Student Biographical Information

Applicants who wish to be considered for need-based financial assistance from the University of Pennsylvania must complete and sign this form in addition to completing the Free Application for Federal Student Aid (FAFSA). Also, this form includes the Non-Tax Filer Form for students and/or parents who do not file the federal 1040 tax form. Parental information must be provided if required by your school. Please review your school's policy regarding this requirement. When indicated, provide additional information and, if necessary, attach a separate sheet to this form.

1. Name: ______________________________________________________ Email Address: ____________________________________________
   Last                          First                      M.I.

2. Penn I.D. Number OR ____________________________ last 4 digits of Social Security Number

   Year in Graduate Program:   ☐ 1st   ☐ 2nd   ☐ 3rd   ☐ 4th

   Department (if applicable): ____________________________

3. Graduate School Division: ____________________________________________
   e.g., Medicine, Dental, Veterinary...

4. Degree Sought:
   ☐ MA/MS   ☐ DMD   ☐ MSW
   ☐ MD   ☐ PhD/EdD   ☐ VMD
   ☐ MBA   ☐ JD
   ☐ Other ___________________________________________________________________

5. Student’s Citizenship Status:
   ☐ U.S. Citizen   ☐ Permanent Resident
   ☐ Neither of the Above (answer below)

   Country of Citizenship:__________________________________________________________

   Visa Classification:
   ☐ F1   ☐ F2   ☐ J1   ☐ J2   ☐ G   ☐ Other ___________________________________________________________________

6a. Current Mailing Address & Phone
(Until May 31, 2019)
   Street
   City State Zip
   Phone (      ) __________________

6b. Summer Mailing Address & Phone
(June 1, 2019 - September 1, 2019)
   Street
   City State Zip
   Phone (      ) __________________

6c. Mailing Address & Phone
(After September 1, 2019)
   Street
   City State Zip
   Phone (      ) __________________

7. During the 2019-2020 Academic Year I will be (Please check one):
   ☐ an incoming student, first-time graduate financial aid applicant at Penn. Class entering: ☐ Summer 2019
   ☐ an incoming student, first-time graduate financial aid applicant at Penn. Class entering: ☐ September 2019 ☐ January 2019
   ☐ a continuing student, renewal graduate financial aid applicant (filed Penn Financial Aid Application and/or FAFSA during 2018-2019).
   ☐ a continuing student, first-time graduate financial aid applicant at Penn.

8a. Please determine my eligibility for (check one):
   ☐ Federal aid programs only, e.g., Direct Loan (GSLG)
   ☐ Both Federal and University aid, grant and loan programs.

8b. Which of the following best describes the current status of your 2019-2020 FAFSA or Renewal FAFSA? (check one):
   ☐ I have completed the FAFSA/Renewal FAFSA and mailed it to the federal processor on __________________.
   ☐ I have not yet completed the FAFSA/Renewal FAFSA, but will do so and mail it to the federal processor.

9. During the 2019-2020 Academic Year I will reside:
   ☐ in University-controlled housing.
   ☐ in off-campus housing.
   ☐ with parents or guardians.

Section B  Enrollment Status

10. If studying for a MD or DMD degree, do you plan to practice in primary health care or general dentistry? ☐ Yes ☐ No
    To be considered for financial aid, you must be enrolled at least half-time (2 course units per semester) in a degree program. You are considered full-time if you are enrolled in the School of Medicine, Dental, Law, Veterinary or Wharton MBA Program.


12. All students enrolled in Annenberg, Arts & Sciences, Education, Engineering, Design, Nursing, Social Policy & Practice and Wharton’s PhD Program must complete this question. Please check the appropriate box below:

   Fall 2019
   ☐ I have registered ☐ I intend to register
   ☐ Paying dissertation tuition only
   ☐ Paying Master’s Registration fee only

   Course Units: __________

   Spring 2020
   ☐ I have registered ☐ I intend to register
   ☐ Paying dissertation tuition only
   ☐ Paying Master’s Registration fee only

   Course Units: __________

Section C  Educational Resources

☐ Other __________________________

13. Do you expect to receive any other funding for the 2019-2020 Academic Year?
    ☐ Yes ☐ No ☐ Unknown at this time

If “Yes,” please complete the following:
   a. Teaching/Research ______________________ $________
   b. Stipend______________________________$________
   c. Grants or Fellowships ___________________$________
   d. Resident Advisor Position _______________ $________
   e. Armed Forces Scholarship _______________$________
   f. Other Sources__________________________$________
Section D  Student's Educational History

Please complete this section even if you did not receive financial aid.

14a. Name(s) of all U.S. undergraduate institutions
   Dates attended    Degree, if any
   ______________________ __________ __________ __________________ __________ __________
   ______________________ __________ __________ __________________ __________ __________
   ______________________ __________ __________ __________________ __________ __________
   14c. Total number of prior institutions attended (sum of a & b): __________

14b. Name(s) of all U.S. graduate institutions
   Dates Attended    Degree, if any
   ______________________ __________ __________ __________________ __________ __________
   ______________________ __________ __________ __________________ __________ __________
   ______________________ __________ __________ __________________ __________ __________

Section E  Student's Financial Aid History

15a. Undergraduate financial aid (If zero, enter "0s")
   Year Grants Loans
   Freshman $ __________ $ __________
   Sophomore $ __________ $ __________
   Junior $ __________ $ __________
   Senior $ __________ $ __________
   Total $ __________ $ __________

15b. Graduate financial aid (If zero, enter "0s")
   Year Grants Loans
   First $ __________ $ __________
   Second $ __________ $ __________
   Third $ __________ $ __________
   Fourth $ __________ $ __________
   Total $ __________ $ __________

Section F  Spouse's (or Prospective Spouse's) Financial Aid History

Married students and students who will be married prior to July 1, 2020

16. Your actual or anticipated marriage date.
   __________________________________________________________________
   17. ☐ My spouse will reside with me
   ☐ My spouse and I will maintain separate residences

18. Spouse's name:  First:__________________________________ Last: ______________________________ Age:_______

   a. Will your spouse be a student in the 2019-2020 academic year?  ☐ Yes, full-time  ☐ Yes, at least half-time  ☐ No
   b. If yes, indicate his/her status in 2019-2020:  ☐ Undergraduate  ☐ Graduate/Professional
   c. Name of institution: ______________________________ State: ______________________
   d. Estimated Tuition: $ ______________________________ Year in School:  ☐ 1st  ☐ 2nd  ☐ 3rd  ☐ 4th
   e. Has your spouse applied for financial aid for the 2019-2020 academic year?  ☐ Yes  ☐ No
   f. Your spouse's last degree.  Degree awarded: ____________________________ Dates Attended: ______________ Major Field: ____________

   Grants $ ____________ ☐ awarded  ☐ pending Loans $_____________ ☐ awarded  ☐ pending

Section G  Student's (and Spouse's or Prospective Spouse's) Expenses and Indebtedness

19a. Current monthly rent or mortgage payment: $ ______________

   19b. If you own your home give i.) the year in which you purchased the home ______________ and ii.) the purchase price ______________

20. 2018 state and local income and property taxes: $ ______________________

21. a. Do you own your car(s)?  ☐ Yes  ☐ No
   b. Make __________________________ Year __________________________ Model __________________________

22. 2018 medical and dental expenses not covered by insurance: $ ______________

23a. 2018 Tuition paid
   a. For how many dependent children? __________________________
   b. 2018 total car indebtedness: ______________
   c. 2018 total monthly car payments: ____________

24. 2018 child support paid: $ __________________________________________

25. Educational Loans - Give Amounts
   a. Your Federal Perkins Loan (formerly NDSL)
      $ ______________ $ ______________
      Total of all Federal Perkins Loans ever borrowed $ ______________
   b. Your other educational loans
      $ ______________ $ ______________
   c. Spouse's (or prospective spouse's total educational loans)
      $ ______________ $ ______________

   a. __________________________________________ $ ______________ $ ______________
   b. __________________________________________ $ ______________ $ ______________
   c. Total (add lines a and b) $ ______________ $ ______________

27. Unusual Expenses. List your unusual expenses and itemize amount in section Q.
   (Include any child care expenses in this section.)
   Summer 2019  Academic Year 2019-2020
   $ ______________  $ ______________

2 of 6
Section H

Student’s (and Spouse’s) 2017 Income, Earnings and Benefits

28. The following 2017 income tax figures* are from (check only one):
   □ a completed 2017 IRS Form 1040A or 1040EZ. Go to Question 29.
   □ a completed 2017 IRS Form 1040. Go to Question 29.
   □ an estimated 2017 IRS Form 1040A or 1040EZ. Go to Question 29.
   □ an estimated 2017 IRS Form 1040. Go to Question 29.
   □ will not file a 2017 U.S. income tax return. Answer questions 30a, 30b, 34a-e, and 35. **If you did not file a 2017 U.S. income tax return, please sign Section P.**

   * Tax filers only! Line indicators in parenthesis accurate as of tax year 2017.

29. Total number of exemptions (IRS Form 1040/line 6d; 1040A/line 6d)*

30. Wages (IRS Form 1040/line 7; 1040A/line7; 1040EZ/line 1)*
   a. Your income earned from work
   b. Your spouse’s income earned from work

31. Interest and dividend income (IRS Form 1040/lines 8a, 8b, 9a and 9b 1040A/lines 8a, 8b, 9a and 9b; 1040EZ line 2)*

32. Other taxable income (IRS Form 1040/lines 10, 11, 14, 15b, 16b, 19, 20b, 21; 1040A/lines 10, 11b, 12b, 13, 14b; 1040EZ/line 3)*

33. U.S. income tax paid (IRS Form 1040/line 56; 1040A/line 37; 1040EZ/line 11)*

34. Untaxed income and benefits (yearly totals only)
   a. Payments to tax deferred pensions and savings plan
   b. Tax exempt interest (IRS Form 1040/line 8b; 1040A/line 8b)*
   c. Pensions (IRS Form 1040/line 16a minus 16b;1040A/ line12a minus 12b)
   d. Social security (IRS Form 1040/line 20a minus 20b;1040A/ line14a minus 14b)
   e. Earned income credit (IRS Form 1040/line 66a; 1040A/line 41a)
   f. Aid to families with dependent children (AFDC or ADC)
   g. Other (explain separately)

35. Total untaxed income and benefits (34a - 34g)

Section I

Student’s (and Spouse’s) Summer/Academic Year Expected Pre-Tax Income and Employment

Note: For the following section please estimate if uncertain

36. Student’s before tax (gross) income from work
   a. Summer 2019 (3 months) $ ____________
   b. Academic Year 2019-2020 (9 months) $ ____________
   c. Total (a and b above) $ ____________

37. Spouse’s before tax (gross) income from work
   a. Summer 2019 (3 months) $ ____________
   b. Academic Year 2019-2020 (9 months) $ ____________
   c. Total (a and b above) $ ____________

38. Student’s (and spouse’s) other taxable income
   a. Summer 2019 (3 months) $ ____________
   b. Academic Year 2019-2020 (9 months) $ ____________
   c. Total (a and b above) $ ____________

39. Student’s (and spouse’s) untaxed income and benefits
   a. Summer 2019 (3 months) $ ____________
   b. Academic Year 2019-2020 (9 months) $ ____________
   c. Total (a and b above) $ ____________

40. Your employment history
   Employer’s Name Type of Work # of Weeks Worked
   Summer 2018 ____________ ____________ ____________
   Acad. Year 2018-2019 ____________ ____________ ____________

41. Your spouse’s (or prospective spouse’s) employment history
   Employer’s Name Type of Work # of Weeks Worked
   Summer 2018 ____________ ____________ ____________
   Acad. Year 2018-2019 ____________ ____________ ____________
   Summer 2019 ____________ ____________ ____________
   Acad. Year 2019-2020 ____________ ____________ ____________
Section J  Student's (and Spouse's) Asset Information and Other Resources

Note: Do not include student financial aid in your calculations.

42. Cash, savings and checking accounts
   $ ____________________________00

43. Home (Renters, enter "0")
   $ ____________________________00

44. Other real estate (explain separately)
   $ ____________________________00

45. Business
   $ ____________________________00

46. Farm
   $ ____________________________00

47. Stocks, bonds, certificates of deposit and other investments (explain separately)
   $ ____________________________00 $ ____________________________00

48. IRA/401K value as of December 31, 2018
   $ ____________________________00

49. Keogh value as of December 31, 2018
   $ ____________________________00

50. Other retirement funds
   $ ____________________________00

51. Contingent trust funds of which the student is beneficiary (explain separately)
   $ ____________________________00

52. Vested trust funds of which the student is beneficiary (explain separately)
   $ ____________________________00

53. Other (explain separately)
   $ ____________________________00 $ ____________________________00

54. What will be the value, as of September 1, 2019, of your (and your spouse’s or prospective spouse’s) cash, savings and checking accounts? $ ____________________________00

55. Your non-federal scholarships, fellowships and grants from sources other than those designated to receive this statement (excluding student loans) $ ____________________________00

56. Financial assistance from your parents
   $ ____________________________00 $ ____________________________00

57. Financial assistance from other relatives, your spouse’s parents, and all other sources
   $ ____________________________00 $ ____________________________00

58. Reimbursement from employer for expenses
   $ ____________________________00 $ ____________________________00

Section K  Parents and Family Questionnaire (If Applicable)

The Parents and Family Questionnaire must be completed if your school/program requires parents’ and family information.

59a. Student’s Name
   Parent 1  step-parent 1  guardian 1

   Name
   City State Zip
   Occupation Title
   Employed by Years

59b. Student’s Name
   Parent 2  step-parent 2  guardian 2

   Name
   City State Zip
   Occupation Title
   Employed by Years

60a. Number of family members in 2019-2020. Write in the total number of people your parents will support in 2019-2020. Always include yourself, your parents, other dependent children and other people living in household.

60b. Number of college students in 2019-2020. Write in the number of people in your family that will be attending college or graduate/professional school at least half-time during the 2019-2020 academic year. Be sure to include yourself.

60c. Number of parents in school (explain separately)

60d. Parent’s current marital status is:
   - married
   - divorced
   - single
   - widowed
   - separated
   - separated, no court action

60e. Age of the older parent: __________

60f. Parents’ state of legal residence: __________

60g. Year of divorce or separation: __________

60h. Name of parent last claiming student as tax dependent: __________

   Year Claimed: __________

If there are special circumstances, check here □ and explain in Section Q.

Section L  Family Information

Information for all the family members included in the student’s and parents’ households. Give the student’s information on line 1. For persons not in school, give only name, age and relationship. If there are more than eight family members, list those who will be in college at least half-time List others separately. This section is subject to verification.

<table>
<thead>
<tr>
<th>Name</th>
<th>Age</th>
<th>Checking with family</th>
<th>Name of Family Member</th>
<th>Year in School</th>
<th>Tuition, Fees and Room &amp; Board</th>
<th>Scholarships or Gift Aid</th>
<th>Loans and Employment</th>
<th>Parental Support toward tuition</th>
<th>Name of Institution to be Attended</th>
<th>Expected Parental Support toward Education</th>
<th>Check if Full Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student Applicant</td>
<td>1</td>
<td></td>
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</tr>
<tr>
<td>Parents in School (explain separately)</td>
<td>6</td>
<td></td>
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<td>7</td>
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</tr>
</tbody>
</table>

Relationship to student code:
- 1=Student’s parent/step-parent
- 2=Student’s brother or sister
- 3=Student’s spouse
- 4=Student’s son or daughter
- 5=Student’s Grandparent
- 6=Other (Explain Separately)
### Section M  Parents’ Income and Expenses

63. The following 2017 U.S. income tax return figures are from (check only one):
- [ ] a completed 2017 IRS Form 1040A or 1040EZ. Go to Question 64.
- [ ] a completed 2017 IRS Form 1040. Go to Question 64.
- [ ] an estimated 2017 IRS Form 1040A or 1040EZ. Go to Question 64.
- [ ] an estimated 2017 IRS Form 1040. Go to Question 64.
- [ ] will not file a 2017 U.S. income tax return. Answer questions 65a, 65b, 73a-h, 74-77. **If you did not a 2017 U.S. income tax return, please sign Section P.**

#### Income and Expenses

<table>
<thead>
<tr>
<th>Description</th>
<th>2017</th>
<th>Estimated 2018</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wages</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Interest and dividend income</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Net income from business, farm, rents, partnerships, estates, trusts, etc.</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Other taxable income</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Deductions</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Deductible IRA, and/or SEP/SIMPLE</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>U.S. income tax paid</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Itemized deductions</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Untaxed income and benefits</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Payments to tax deferred pension and savings plans</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Tax-exempt interest</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Pensions</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Social security</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Child support</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Aid to families with dependent children (AFDC or ADC)</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Earned income credit</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Other (explain separately)</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Total untaxed income (72a-72h above)</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Medical and dental expenses not paid by insurance</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Elementary, junior high, high school tuition paid (exclude applicant)</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>State and local income and property taxes</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Child support paid</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
</tbody>
</table>

#### Excluded Income

**Tax Filers Only! Line indicators in parenthesis accurate as of the tax year 2017**

There are no questions 78-83.

### Section N  Parents’ Asset Information

64. Which of the following best describes your parents’ housing situation?

- [ ] rent - specify monthly payment
- [ ] own home - specify monthly mortgage payment
- [ ] other - please explain

85a. Do your parents own a car?  
- [ ] Yes  
- [ ] No

#### Home Details

- Year home was purchased
- Purchase price of home
- Current value of home
- Current outstanding debt on home

#### Financial Information

- Cash, savings and checking accounts
- Other real estate
- Investments
- Business
- Farm

<table>
<thead>
<tr>
<th>Description</th>
<th>2017</th>
<th>2018</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash, savings and checking accounts</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Other real estate</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Investments</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Business</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
<tr>
<td>Farm</td>
<td>$ ___00</td>
<td>$ ___00</td>
</tr>
</tbody>
</table>

**What is it worth now?**
**What is owed on it?**
Section O Certification

This form is valid for the 2019-2020 Academic Year.

You must fill out the financial aid application and FAFSA forms accurately. All changes in circumstances must be reported in writing immediately to Student Financial Services. Falsifying information could result in loss of financial aid (or you could be required to repay the aid) and possible disciplinary action.

91. Certification: All the information provided by me or any other person on this form, if completed, is true and complete to the best of my (our) knowledge. I understand this application is being filed jointly by all signatories. If asked by an authorized official, I (we) agree to give proof of the information that I (we) have given on this form, if completed. I (We) realize that this proof may include a copy of my (our) U.S., state, or local income tax return. I (We) also realize that if I (we) do not give proof when asked, the student may be denied aid.

Signature ____________________________ Signature ________________________________

(Applicant) (Parent 1)

Signature ____________________________ Signature ________________________________

(Spouse) (Parent 2)

Parents: If the student discusses the financial circumstances with the school’s financial aid officer, do you authorize the officer to disclose your information to the student?

☐ Yes ☐ No Date this form was completed: _______________________________

Month Day Year

Section P Verification of Non-Tax Filer

Complete this section only if you or your parents did not file a 2017 federal tax form.

92. For Parents Who Do Not File: at least one parent must sign

I (We) have not filed and will not file a 2017 U.S. Income Tax Return Form 1040, 1040A, or 1040EZ. All the information on the financial aid application which will be used to calculate my son’s/daughter’s financial need is complete and correct.

Signature ____________________________ Date __________________________

(Parent 1)

Signature ____________________________ Date __________________________

(Parent 2)

93. For Students Who Do Not File: Applicant (and spouse, if applicable) must sign.

I (We) have not filed and will not file a 2017 U.S. Income Tax Return Form 1040, 1040A, or 1040EZ. All the information on the financial aid application which will be used to calculate my financial need is complete and correct.

Signature ____________________________ Date __________________________

(Applicant)

Signature ____________________________ Date __________________________

(Spouse)

Section Q Special Circumstances

Please use this space to explain special circumstances (attach an additional sheet if necessary).

Section R Release of Information

I hereby grant permission to Student Financial Services to release my academic transcript and information about my financial aid to any prospective scholarship donor.

Signature ____________________________ Date __________________________

(Applicant)

For Office Use Only:

SCRN: 11 PennSup FILE: GRAD Date Received ________________ Initials ____________

12 NONTAX